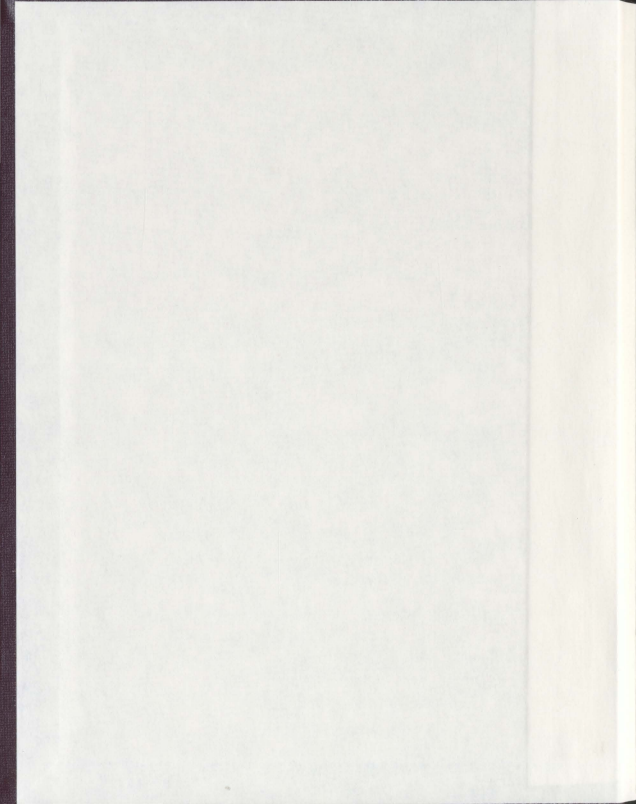


DECOMPOSITIONS OF MATRICES AND LINEAR  
TRANSFORMATIONS

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# Decompositions of Matrices and Linear Transformations

by

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## Abstract

The aim of this thesis is to discuss how to express a matrix (or a linear transformation) as the sum of two invertible matrices (or invertible linear transformations) with some constraints. The work for this thesis is two-fold. Firstly, it is proved that if  $R$  is a semilocal ring or an exchange ring with primitive factors Artinian then  $R$  satisfies the Goodearl-Menal condition if and only if no homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $M_2(\mathbb{Z}_2)$ . These results correct two existing results in the literature. Secondly, for the ring  $R$  of linear transformations of a right vector space over a division ring  $D$ , two results are proved in this thesis: (1) If  $|D| > 3$ , then for any  $a \in R$  there exists a unit  $u$  of  $R$  such that both  $a + u$  and  $a - u^{-1}$  are units of  $R$ ; (2) If  $|D| > 2$ , then for any  $a \in R$  there exists a unit  $u$  of  $R$  such that both  $a - u$  and  $a - u^{-1}$  are units of  $R$ . Result (1) extends a result of H. Chen [7] that the ring of linear transformations of a countably generated right vector space over a division ring  $D$  with  $|D| > 3$  satisfies the condition that for any  $a \in R$ , there exists  $u \in U(R)$  such that  $a + u$  and  $a - u^{-1} \in U(R)$ . And result (2) answers a question raised by H. Chen [7] whether the ring of linear transformations of a countably generated right vector space over a division ring  $D$  with  $|D| > 2$  satisfies the condition that for any  $a \in R$ , there exists  $u \in U(R)$  such that  $a - u$  and  $a - u^{-1} \in U(R)$ . Connections of these conditions with some well-known conditions in ring theory are also discussed.

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# Chapter 1

## Introduction

Throughout the thesis,  $R$  denotes an associative ring with identity,  $U(R)$  denotes the group of units of  $R$ ,  $J(R)$  denotes the Jacobson radical of  $R$ ,  $\mathbb{Z}_n$  denotes the ring of integers modulo  $n$  and  $M_n(R)$  denotes the  $n \times n$  matrix ring over  $R$ . We write  $I \triangleleft R$  to mean that  $I$  is an ideal of  $R$ .

In 1954, Zelinsky [26] proved that every element in the ring of linear transformations of a right vector space  $V_D$  over a division ring  $D$  is the sum of two units unless  $D \cong \mathbb{Z}_2$  and the dimension of  $V_D$  is one. Also, in 1998, Nicholson and Varadarajan [19] proved that every linear transformation over a countable-dimensional vector space is the sum of an idempotent and an automorphism. In addition, in 2010, H. Chen [7] proved that the ring  $R$  of linear transformations of a countably generated right vector space over a division ring  $D$  with  $|D| > 3$  satisfies the condition that for any  $a \in R$ , there exists  $u \in U(R)$  such that  $a + u$  and  $a - u^{-1} \in U(R)$ . H. Chen [7] also raised the question whether the ring of linear transformations of a countably generated right vector space over a division ring  $D$  with  $|D| > 2$  satisfies the condition

that for any  $a \in R$ , there exists  $u \in U(R)$  such that  $a - u$  and  $a - u^{-1} \in U(R)$ . These are the motivation for us to discuss the decompositions of matrices and linear transformations in this thesis.

A ring  $R$  is said to satisfy unit 1-stable range if whenever  $aR + bR = R$ , there exists  $u \in U(R)$  such that  $a + bu \in U(R)$ . In 1984, Menal and Moncasi [16] proved that if  $R$  satisfies unit 1-stable range, then  $K_1(R) = U(R)/V(R)$ , where  $V(R)$  is the subgroup of  $U(R)$  generated by  $\{(ab + 1)(ba + 1)^{-1} : ab + 1 \in U(R)\}$ . Here  $K_1(R)$  denotes the  $K_1$ -group of  $R$ , which is an important topic in homological algebra, topology, algebraic geometry and etc. Notice that for a ring  $R$ ,  $K_1(R) = GL(R)/[GL(R), GL(R)]$ , where  $GL(R)$  is the direct limit of  $GL_n(R)$ , the group of invertible matrices in  $M_n(R)$ , and  $[GL(R), GL(R)]$  is the commutator subgroup of  $GL(R)$ .

Later in 1988, Goodearl and Menal [9] showed that the unit 1-stable range is always satisfied by a ring  $R$  with the condition that for any  $x, y \in R$ , there exists a unit  $u$  of  $R$  such that both  $x - u$  and  $y - u^{-1}$  are units of  $R$ . The latter condition was called the Goodearl-Menal condition by H.Chen [5] in 2001. Goodearl and Menal [9] also provided many classes of rings which satisfy the Goodearl-Menal condition. Here we recall some concepts in ring theory used in this thesis. A ring is called simple if it is a non-zero ring that has no (two-sided) ideal besides the zero ideal and itself. A ring  $R$  is semilocal if  $R/J(R)$  is semisimple Artinian. The notion of an exchange ring was introduced by Warfield [24] via the exchange property of modules. Here we use an equivalent condition of an exchange ring obtained independently by Goodearl [10] and Nicholson [18]: a ring  $R$  is an exchange ring if and only if for each  $a \in R$  there exists  $e^2 = e \in R$  such that  $e \in aR$  and  $1 - e \in (1 - a)R$ . And an exchange ring with primitive factors Artinian is an exchange ring whose primitive factors are

Artinian. A ring  $R$  is called right self-injective if every  $R$ -homomorphism from a right ideal of  $R$  into  $R$  can be extended to an  $R$ -homomorphism from  $R$  to  $R$ . A ring  $R$  is called strongly  $\pi$ -regular if the descending chain  $aR \supseteq a^2R \supseteq a^3R \supseteq \dots$  is stable for all  $a \in R$ .

As mentioned before, a ring  $R$  is said to satisfy the Goodearl-Menal condition if for any  $x, y \in R$ , there exists a unit  $u$  of  $R$  such that both  $x - u$  and  $y - u^{-1}$  are units of  $R$ . For brevity, we will use the term GM-condition for the Goodearl-Menal condition. The class of ring satisfying the GM-condition is closed under direct products and homomorphic images. Besides the GM-condition we are concerned with the following two conditions on  $R$ :

**Condition (P).** A ring  $R$  is said to satisfy (P) if for any  $a \in R$ , there exists  $u \in U(R)$  such that  $a + u, a - u^{-1} \in U(R)$ .

**Condition (Q).** A ring  $R$  is said to satisfy (Q) if for any  $a \in R$ , there exists  $u \in U(R)$  such that  $a - u, a - u^{-1} \in U(R)$ .

In this thesis, we mainly concern about rings with the GM-condition and the ring of linear transformations with Conditions (P) and (Q). It is proved that if  $R$  is a semilocal ring or an exchange ring with primitive factors Artinian, then  $R$  satisfies the GM-condition if and only if no homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $M_2(\mathbb{Z}_2)$ . As a consequence, it is proved that, if  $R$  is a ring such that  $R/J(R)$  is right self-injective strongly  $\pi$ -regular, then  $R$  satisfies the GM-condition if and only if no homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $M_2(\mathbb{Z}_2)$ . These results correct two existing results (see [6, Theorem 3.4] and [6, Theorem 4.1]), and disprove a claim in [6] (see [6, p.753]) and a claim in [7] (see [7, p.432]).



The incorrect statement that a semilocal ring  $R$  satisfies the GM-condition if and only if no homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  has been implicitly used in making/proving several claims about rings with related conditions (see [6, p.753], [7, Proposition 9], [7, p.6]), so the argument's validity needs to be established. And this is done here.

Let  $R$  be the ring of linear transformations of a right vector space over a division ring  $D$ . We proved that if  $|D| > 3$ , then  $R$  satisfies (P); If  $|D| > 2$ , then  $R$  satisfies (Q). Connections of these conditions with some well-known conditions in ring theory are briefly discussed.

## Chapter 2

# Rings with the Goodearl-Menal condition

First let us recall a ring  $R$  satisfies the *GM-condition* if for any  $x, y \in R$ , there exists a unit  $u$  of  $R$  such that both  $x - u$  and  $y - u^{-1}$  are units of  $R$ .

The unit 1-stable range condition has been discussed by several authors. For example, Menal and Moncasi [8] proved that if  $R$  satisfies unit 1-stable range, then  $K_1(R) = U(R)/V(R)$ , where  $V(R)$  is the subgroup of  $U(R)$  generated by  $\{(ab + 1)(ba + 1)^{-1} : ab + 1 \in U(R)\}$ . Later Goodearl and Menal [9] proved that if a ring  $R$  satisfies the GM-condition then it satisfies unit 1-stable range. They also provided many classes of rings satisfying the GM-condition. In this chapter, we prove that if  $R$  is a semilocal ring, then  $R$  satisfies the GM-condition if and only if no homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $M_2(\mathbb{Z}_2)$ . As consequences, we also prove that, for an exchange ring  $R$  with primitive factors Artinian or a ring  $R$  such that  $R/J(R)$  is right self-injective strongly  $\pi$ -regular,  $R$  satisfies the GM-condition if

and only if no homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $M_2(\mathbb{Z}_2)$ . Applications of these results are discussed.

## 2.1 Semilocal rings

We begin with the following example.

**Example 2.1.1** *The ring  $M_2(\mathbb{Z}_2)$  does not satisfy the GM-condition.*

*Proof.* We have  $U(M_2(\mathbb{Z}_2)) = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \right\}$ .

It is easy to check that, for  $A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $B = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ , there does not exist a unit  $U$  of  $M_2(\mathbb{Z}_2)$  such that  $A - U, B - U^{-1}$  are units of  $M_2(\mathbb{Z}_2)$ .  $\square$

It is clear that  $M_2(\mathbb{Z}_2)$  is semilocal. Also since it is simple, no homomorphic image of  $M_2(\mathbb{Z}_2)$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$ . Thus  $M_2(\mathbb{Z}_2)$  is a counter-example to H.Chen's result [6] that a semilocal ring  $R$  satisfies the GM-condition if and only if no homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$ , and this raises the question of which semilocal rings satisfy the GM-condition. The main result in this chapter is the following

**Theorem 2.1.2** *Let  $R$  be a semilocal ring. The following are equivalent:*

1.  $R$  satisfies the GM-condition.
2. No homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $M_2(\mathbb{Z}_2)$ .

The proof of the theorem relies on the following theorem and three lemmas.

**Theorem 2.1.3** *If  $M_n(R)$  and  $M_m(R)$  both satisfy the GM-condition, then  $M_{n+m}(R)$  satisfies the GM-condition.*

*Proof.* Let  $A, B \in M_{n+m}(R)$ . Write

$$A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \text{ and } B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix},$$

where  $A_{11}, B_{11} \in M_n(R)$ ,  $A_{22}, B_{22} \in M_m(R)$ ,  $A_{12}$  and  $B_{12}$  are  $n \times m$  matrices,  $A_{21}$  and  $B_{21}$  are  $m \times n$  matrices. By our assumption, there exists a unit  $U_1$  in  $M_n(R)$  such that  $X := A_{11} - U_1$ ,  $Y := B_{11} - U_1^{-1}$  are units of  $M_n(R)$ . Now  $A_{22} - A_{21}X^{-1}A_{12}$ ,  $B_{22} - B_{21}Y^{-1}B_{12}$  are matrices in  $M_m(R)$ . By assumption, there exists a unit  $U_2$  of  $M_m(R)$  such that

$$X' := (A_{22} - A_{21}X^{-1}A_{12}) - U_2$$

$$Y' := (B_{22} - B_{21}Y^{-1}B_{12}) - U_2^{-1}$$

are units of  $M_m(R)$ . Then,  $U := \begin{pmatrix} U_1 & 0 \\ 0 & U_2 \end{pmatrix}$  is a unit of  $M_{n+m}(R)$  such that

$$A - U = \begin{pmatrix} X & A_{12} \\ A_{21} & A_{21}X^{-1}A_{12} + X' \end{pmatrix} = \begin{pmatrix} I & 0 \\ A_{21}X^{-1} & I \end{pmatrix} \begin{pmatrix} X & A_{12} \\ 0 & X' \end{pmatrix},$$

$$B - U^{-1} = \begin{pmatrix} Y & B_{12} \\ B_{21} & B_{21}Y^{-1}B_{12} + Y' \end{pmatrix} = \begin{pmatrix} I & 0 \\ B_{21}Y^{-1} & I \end{pmatrix} \begin{pmatrix} Y & B_{12} \\ 0 & Y' \end{pmatrix}$$

are units of  $M_{n+m}(R)$ . This completes the proof.  $\square$

**Lemma 2.1.4** *If  $D$  is a division ring with  $|D| \geq 4$ , then  $M_n(D)$  satisfies the GM-condition for all  $n \geq 1$ .*

*Proof.* By Theorem 2.1.3, it suffices to show that  $D$  satisfies the GM-condition. Let  $x, y \in D$ . If  $x = 0$ , choose  $0 \neq u \in D$  such that  $u^{-1} \neq y$  and then we have that  $x - u \neq 0$  and  $y - u^{-1} \neq 0$ . If  $y = 0$  choose  $0 \neq u \in D$  such that  $u \neq x$  and then we have  $x - u \neq 0$  and  $y - u^{-1} \neq 0$ . If  $x \neq 0$  and  $y \neq 0$ , choose  $0 \neq u \in D$  such that  $u \neq x$  and  $u \neq y^{-1}$ , and we have  $x - u \neq 0$  and  $y - u^{-1} \neq 0$ . So  $D$  satisfies the GM-condition.  $\square$

Next we show that  $M_n(\mathbb{Z}_3)$  ( $n \geq 2$ ) and  $M_n(\mathbb{Z}_2)$  ( $n \geq 3$ ) satisfy the GM-condition. The idea in proving Lemma 2.1.4 does not apply to these cases, because none of  $\mathbb{Z}_2$ ,  $\mathbb{Z}_3$  and  $M_2(\mathbb{Z}_2)$  satisfies the GM-condition. The long verifications of the next two examples are given in the Appendix.

**Example 2.1.5**  $M_2(\mathbb{Z}_3)$  and  $M_3(\mathbb{Z}_3)$  satisfy the GM-condition.

**Example 2.1.6**  $M_3(\mathbb{Z}_2)$ ,  $M_4(\mathbb{Z}_2)$  and  $M_5(\mathbb{Z}_2)$  satisfy the GM-condition.

Theorem 2.1.3 can be used to show that  $M_n(\mathbb{Z}_3)$  ( $n \geq 2$ ) and  $M_n(\mathbb{Z}_2)$  ( $n \geq 3$ ) satisfy the GM-condition based on Examples 2.1.5 and 2.1.6.

**Lemma 2.1.7**  $M_n(\mathbb{Z}_3)$  satisfies the GM-condition for all  $n \geq 2$ .

*Proof.* For any  $n \geq 2$ ,  $n = 2s$  or  $n = 3s$  or  $n = 2s + 3$ , where  $s$  is a positive integer. It follows from Example 2.1.5 and Theorem 2.1.3 that  $M_n(\mathbb{Z}_3)$  satisfies the GM-condition.  $\square$

**Lemma 2.1.8**  $M_n(\mathbb{Z}_2)$  satisfies the GM-condition for all  $n \geq 3$ .

*Proof.* For any  $n \geq 3$ ,  $n = 3s$  or  $n = 4s$  or  $n = 5s$  or  $n = 3s + 4$  or  $3s + 5$ , where  $s$  is a positive integer. It follows from Example 2.1.6 and Theorem 2.1.3 that  $M_n(\mathbb{Z}_2)$

satisfies the GM-condition.  $\square$

We are ready to prove Theorem 2.1.2.

*Proof of Theorem 2.1.2.*

Suppose that  $R$  satisfies the GM-condition. If  $S$  is a nonzero homomorphic image of  $R$ , then  $S$  satisfies the GM-condition. Because none of  $\mathbb{Z}_2$ ,  $\mathbb{Z}_3$  and  $\mathbb{M}_2(\mathbb{Z}_2)$  satisfies the GM-condition,  $S$  is not isomorphic to either of  $\mathbb{Z}_2$ ,  $\mathbb{Z}_3$  and  $\mathbb{M}_2(\mathbb{Z}_2)$ .

Conversely, suppose that no homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $\mathbb{M}_2(\mathbb{Z}_2)$ . Notice that  $R$  satisfies the GM-condition if and only if  $R/J(R)$  satisfies the GM-condition. So we may assume that  $J(R) = 0$ . Since  $R$  is semilocal,  $R = \mathbb{M}_{n_1}(D_1) \oplus \cdots \oplus \mathbb{M}_{n_s}(D_s)$ , where  $s \geq 1$ ,  $n_i \geq 1$  and  $D_i$  is a division ring for  $i = 1, \dots, s$ . By our assumption, no homomorphic image of  $\mathbb{M}_{n_1}(D_1)$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $\mathbb{M}_2(\mathbb{Z}_2)$ . Thus, either  $n_1 = 1$  with  $|D_1| > 3$ , or  $n_1 = 2$  with  $|D_1| > 2$ , or  $n_1 \geq 3$ . Hence, by Lemmas 2.1.4, 2.1.7 and 2.1.8,  $\mathbb{M}_{n_1}(D_1)$  satisfies the GM-condition. It is similar to show that  $\mathbb{M}_{n_i}(D_i)$  satisfies the GM-condition for  $i = 2, \dots, s$ . Hence  $R$  satisfies the GM-condition.  $\square$

## 2.2 Exchange rings with primitive factors Artinian

**Theorem 2.2.1** *Let  $R$  be an exchange ring with primitive factors Artinian. The following are equivalent:*

1.  $R$  satisfies the GM-condition.
2. No homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $\mathbb{M}_2(\mathbb{Z}_2)$ .

*Proof.* As seen in the proof of Theorem 2.1.2, (1) implies (2) for any arbitrary ring. Now suppose that (2) holds. Assume on the contrary that  $R$  does not satisfy the GM-condition. Then there exist  $x, y \in R$  such that, for each  $u \in U(R)$ , either  $x - u \notin U(R)$  or  $y - u^{-1} \notin U(R)$ . For an ideal  $I$  of  $R$  and  $r \in R$ , we write  $\bar{R} = R/I$  and  $\bar{r} = r + I \in \bar{R}$ . Thus,

$$\mathcal{F} = \{I \triangleleft R : \bar{x} - \bar{u} \notin U(\bar{R}) \text{ or } \bar{y} - \bar{u}^{-1} \notin U(\bar{R}) \forall \bar{u} \in U(\bar{R})\}$$

is not empty. It is easily seen that  $\mathcal{F}$  is an inductive set, so by Zorn's Lemma  $\mathcal{F}$  has a maximal element, say  $I$ . Because every unit of  $(R/I)/J(R/I)$  is lifted to a unit of  $R/I$ , the maximality of  $I$  implies that  $J(R/I) = 0$ . We next show that  $R/I$  is indecomposable. In fact, if  $R/I$  is decomposable, then there exist ideals  $I_1, I_2$  of  $R$  such that  $I \subsetneq I_i \subsetneq R$  ( $i = 1, 2$ ) and

$$R/I \cong R/I_1 \oplus R/I_2 \text{ via } r + I \mapsto (r + I_1, r + I_2).$$

By the maximality of  $I$ , there exists a unit  $v + I_1$  in  $U(R/I_1)$  with inverse  $v' + I_1$  and a unit  $w + I_2$  in  $U(R/I_2)$  with inverse  $w' + I_2$  such that  $(x + I_1) - (v + I_1), (y + I_1) - (v' + I_1) \in U(R/I_1)$  and  $(x + I_2) - (w + I_2), (y + I_2) - (w' + I_2) \in U(R/I_2)$ . Thus,  $(v + I_1, w + I_2)$  is a unit of  $R/I_1 \oplus R/I_2$  with inverse  $(v' + I_1, w' + I_2)$  and, moreover,  $(x + I_1, x + I_2) - (v + I_1, w + I_2)$  and  $(y + I_1, y + I_2) - (v' + I_1, w' + I_2)$  are units of  $R/I_1 \oplus R/I_2$ . This shows that there exists a unit  $u + I$  in  $R/I$  with inverse  $u' + I$  such that  $(x + I) - (u + I)$  and  $(y + I) - (u' + I)$  are units of  $R/I$ . This contradiction shows that  $R/I$  is indecomposable. Thus  $R/I$  is a semiprimitive, indecomposable exchange ring with primitive factors Artinian. Now by Menal [4, Lemma 1],  $R/I$  is a simple Artinian ring. Because  $R/I$  does not satisfy the GM-condition, by Theorem 2.1.2  $R/I = \mathbb{Z}_2$  or  $R/I = \mathbb{Z}_3$  or  $R/I = \mathbb{M}_2(\mathbb{Z}_2)$ . This contradicts (2).  $\square$



Every one-sided perfect ring (in particular, one-sided Artinian ring) is strongly  $\pi$ -regular. A von Neumann regular ring in which every idempotent is central is called a strongly regular ring.

**Corollary 2.2.2** *Let  $R$  be a ring such that  $R/J(R)$  is right self-injective strongly  $\pi$ -regular. The following are equivalent:*

1.  $R$  satisfies the GM-condition.
2. No homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $M_2(\mathbb{Z}_2)$ .

*Proof.* The implication (1)  $\Rightarrow$  (2) is clear. To show the implication (2)  $\Rightarrow$  (1), we can assume that  $J(R) = 0$ . Then by [12],  $R$  is a finite direct product of matrix rings over strongly regular rings. Thus, one can easily show that every primitive image of  $R$  is Artinian. Hence (1) holds by Theorem 2.2.1.  $\square$

## 2.3 Some consequences

Recall that a ring  $R$  satisfies (P) if for each  $a \in R$  there exists  $u \in U(R)$  such that  $a + u, a - u^{-1} \in U(R)$ , and a ring  $R$  satisfies (Q) if for each  $a \in R$  there exists  $u \in U(R)$  such that  $a - u, a - u^{-1} \in U(R)$ . These conditions have been discussed in [7]. It is clear that  $\mathbb{Z}_2$  does not satisfy (Q) and that neither  $\mathbb{Z}_2$  nor  $\mathbb{Z}_3$  satisfy (P). Moreover, the GM-condition implies both (P) and (Q), and the classes of rings which satisfy (P) and (Q) are closed under direct products and homomorphic images. In [7, Proposition 9], the author gave a proof of the claim that a semilocal ring satisfies (P) iff no homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$ , and at the end of the article, made the claim that a semilocal ring  $R$  satisfies (Q) iff  $R$  satisfies unit 1-stable range.

The claim and its proof are implicitly involved with the use of the incorrect statement that a semilocal ring  $R$  satisfies the GM-condition iff no homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  (see the last paragraph of [7]), so their validity need be clarified.

**Proposition 2.3.1** *Let  $R$  be a semilocal ring. The following are equivalent:*

1.  $R$  satisfies  $(P)$ .
2.  $1 + u, 1 - u \in U(R)$  for some  $u \in U(R)$ .
3. No homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$ .

*Proof.* (1)  $\Rightarrow$  (2). By (1), there exists  $u \in U(R)$  such that  $1 + u, 1 - u^{-1} \in U(R)$ . It follows that  $1 + u, 1 - u \in U(R)$ .

(2)  $\Rightarrow$  (3). If (2) holds for  $R$  then (2) holds for any nonzero homomorphic image of  $R$ . But neither  $\mathbb{Z}_2$  nor  $\mathbb{Z}_3$  satisfy (2). So no homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$ .

(3)  $\Rightarrow$  (1). Noting that  $R$  satisfies  $(P)$  iff  $R/J(R)$  satisfies  $(P)$ , we may assume that  $J(R) = 0$ . Thus,  $R = M_{n_1}(D_1) \oplus \cdots \oplus M_{n_s}(D_s)$ , where  $s \geq 1$ ,  $n_i \geq 1$  and  $D_i$  is a division ring for  $i = 1, \dots, s$ . By (3), no homomorphic image of  $M_{n_1}(D_1)$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$ . Thus, either  $n_1 = 1$  with  $|D_1| > 3$ , or  $n_1 \geq 2$ .

If  $|D_1| > 3$  or  $n_1 \geq 3$ , then  $M_{n_1}(D_1)$  satisfies the GM-condition by Theorem 2.1.2, so it satisfies  $(P)$ . Moreover,  $M_2(\mathbb{Z}_3)$  satisfies the GM-condition by Theorem 2.1.2, so it satisfies  $(P)$ . Lastly,  $M_2(\mathbb{Z}_2)$  satisfies  $(P)$  by [7, Example 8]. This shows that  $M_{n_i}(D_i)$  satisfies  $(P)$ . It is similar to show that  $M_{n_i}(D_i)$  satisfies  $(P)$  for  $i = 2, \dots, s$ . Hence  $R$  satisfies  $(P)$ . □

**Proposition 2.3.2** *Let  $R$  be a semilocal ring. The following are equivalent:*

1.  $R$  satisfies (Q).
2. No homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$ .
3.  $R$  satisfies unit 1-stable range.
4. Every element of  $R$  is the sum of two units.

*Proof.* (1)  $\Rightarrow$  (4)  $\Rightarrow$  (2). These are clear.

(2)  $\Rightarrow$  (1). Because  $R$  satisfies (Q) iff  $R/J(R)$  satisfies (Q), we may assume that  $J(R) = 0$ . Thus,  $R = M_{n_1}(D_1) \oplus \cdots \oplus M_{n_s}(D_s)$ , where  $s \geq 1$ ,  $n_i \geq 1$  and  $D_i$  is a division ring for  $i = 1, \dots, s$ . By (2), no homomorphic image of  $M_{n_1}(D_1)$  is isomorphic to  $\mathbb{Z}_2$ . Thus, either  $n_1 = 1$  with  $|D_1| > 2$ , or  $n_1 \geq 2$ .

It is clear that  $\mathbb{Z}_3$  satisfies (Q). By Theorem 2.1.2, every division ring  $D$  with  $|D| > 3$  satisfies the GM-condition, and hence satisfies (Q). Thus,  $M_{n_1}(D_1)$  satisfies (Q) if  $n_1 = 1$  and  $|D_1| > 2$ . If  $|D_1| \geq 3$  and  $n_1 \geq 2$ , then  $M_{n_1}(D_1)$  satisfies the GM-condition by Theorem 2.1.2, and hence satisfies (Q). Finally for any  $n \geq 2$ , Proposition 2.3.1 shows that  $M_n(\mathbb{Z}_2)$  satisfies (P) and hence satisfies (Q) because  $2 = 0$  in  $M_n(\mathbb{Z}_2)$ . Therefore,  $M_{n_1}(D_1)$  satisfies (Q). It is similar to show that  $M_{n_i}(D_i)$  satisfies (Q) for  $i = 2, \dots, s$ . Hence  $R$  satisfies (Q).

(2)  $\Leftrightarrow$  (3). This was proved by Wu [25, Corollary 4]. Since Wu's article is published in Chinese, we include a proof for the readers convenience. Notice that  $R$

satisfies unit 1-stable range iff  $R/J(R)$  satisfies unit 1-stable range and the class of rings satisfying unit 1-stable range is closed under direct products and direct summands.

Suppose (2) holds. To show (3), we can assume that  $J(R) = 0$ . Thus,  $R = M_{n_1}(D_1) \oplus \cdots \oplus M_{n_s}(D_s)$ , where  $s \geq 1$ ,  $n_i \geq 1$  and  $D_i$  is a division ring for  $i = 1, \dots, s$ . By (2), no homomorphic image of  $M_{n_1}(D_1)$  is isomorphic to  $\mathbb{Z}_2$ . Thus, either  $n_1 = 1$  with  $|D_1| > 2$ , or  $n_1 \geq 2$ . Since the GM-condition implies unit 1-stable range, by Theorem 2.1.1 to see that  $M_{n_1}(D_1)$  satisfies unit 1-stable range we only need to show that  $\mathbb{Z}_3$  and  $M_2(\mathbb{Z}_2)$  satisfy unit 1-stable range. But it can easily be verified that  $\mathbb{Z}_3$  and  $M_2(\mathbb{Z}_2)$  satisfy unit 1-stable range. So  $M_{n_1}(D_1)$  satisfies unit 1-stable range. Similarly,  $M_{n_i}(D_i)$  satisfies unit 1-stable range for  $i = 2, \dots, s$ . Hence  $R$  satisfies unit 1-stable range.

Suppose that (2) does not hold. Then  $R/I \cong \mathbb{Z}_2$  for an ideal  $I$  of  $R$ . Hence  $I \supseteq J(R)$  and so  $\mathbb{Z}_2$  is a homomorphic image  $R/J(R)$ . Since  $R$  is semilocal, it follows that  $\mathbb{Z}_2$  is isomorphic to a direct summand of the ring  $R/J(R)$ . Since  $\mathbb{Z}_2$  does not satisfy unit 1-stable range, we deduce that  $R/J(R)$  does not satisfy unit 1-stable range, and hence  $R$  does not satisfy unit 1-stable range.  $\square$

Arguing as in proving Theorem 2.2.1, one can show the following

**Theorem 2.3.3** *Let  $R$  be an exchange ring with primitive factors Artinian.*

1. *The following are equivalent:*

(a)  *$R$  satisfies (P).*

(b)  *$1 + u, 1 - u \in U(R)$  for some  $u \in U(R)$ .*

(c) No homomorphic image of  $R$  is isomorphic to either  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$ .

2. The following are equivalent:

(a)  $R$  satisfies (Q).

(b) No homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$ .

(c)  $R$  satisfies unit 1-stable range.

(d) Every element of  $R$  is the sum of two units.

## Chapter 3

### The ring of linear transformations

Recall that a ring  $R$  satisfies Condition (P) if for any  $a \in R$ , there exists  $u \in U(R)$  such that  $a + u, a - u^{-1} \in U(R)$ , and a ring  $R$  satisfies Condition (Q) if for any  $a \in R$ , there exists  $u \in U(R)$  such that  $a - u, a - u^{-1} \in U(R)$ .

Many authors have discussed the decomposition of linear transformations. For example, Zelinsky [26] proved that every linear transformation of a right vector space over a division ring  $D$  is a sum of two automorphisms unless  $D = \mathbb{Z}_2$  and  $\dim(V) = 1$ . Nicholson and Varadarajan [19] proved that every linear transformation over a countably generated vector space is the sum of an idempotent and an automorphism. Also Chen [7] proved that the ring of linear transformations of a countably generated right vector space over a division ring  $D$  with  $|D| > 3$  satisfies (P). Chen [7] also raised the question whether the ring of linear transformations of a countably generated right vector space over a division ring  $D$  with  $|D| > 2$  satisfies (Q). In this chapter, extending Chen's work, we prove that the ring of linear transformations of a right vector space over a division ring  $D$  with  $|D| > 3$  satisfies (P) and we answer Chen's

question by showing that the ring of linear transformations of a right vector space over a division ring  $D$  with  $|D| > 2$  satisfies (Q).

### 3.1 Condition (P)

The following theorem is an improvement of the main result of [7, Theorem 5] that the ring of linear transformations of a countably generated right vector space over a division ring  $D$  with  $|D| > 3$  satisfies (P).

**Theorem 3.1.1** *Let  $\text{End}(V_D)$  be the ring of linear transformations of a right vector space  $V$  over a division ring  $D$ . If  $|D| > 3$ , then  $\text{End}(V_D)$  satisfies (P).*

To prove this theorem, the following lemma is needed.

For a countably infinite dimensional right vector space  $V_D$ , a linear transformation  $f \in \text{End}(V_D)$  is called a *shift operator* if there exists a basis  $\{v_1, v_2, \dots, v_n, \dots\}$  of  $V$  such that  $f(v_i) = v_{i+1}$  for all  $i$ .

**Lemma 3.1.2** [7] *Let  $V$  be a countably infinite dimensional right vector space over a division ring  $D$  and  $f \in \text{End}(V_D)$  be a shift operator. Then there exists  $g \in U(\text{End}(V_D))$  such that  $f + g, f - g^{-1} \in U(\text{End}(V_D))$ .*

*Proof.* By fixing a basis of  $V_D$ , we can identify  $f$  with a matrix

$$A = \begin{pmatrix} X & 0 & 0 & \cdots \\ Y & X & 0 & \cdots \\ 0 & Y & X & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}, \text{ where } X = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, Y = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$



$$\text{Let } B = \begin{pmatrix} X & 0 & 0 & \cdots \\ 0 & X & 0 & \cdots \\ 0 & 0 & X & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \text{ and } C = \begin{pmatrix} 0 & 0 & 0 & \cdots \\ Y & 0 & 0 & \cdots \\ 0 & Y & 0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}. \text{ Then } B^2 = C^2 = 0 \text{ and}$$

$A = B + C$ . Thus,  $1 + B$  is invertible with inverse  $1 - B$ . We see that  $A + (1 - B) = 1 + C$  and  $A - (1 - B)^{-1} = A - (1 + B) = C - 1$  are invertible.  $\square$

**Proof of Theorem 3.1.1.** Let  $f \in \text{End}(V_D)$ . Let  $\mathcal{S}$  be the set of all ordered pairs  $(W, g)$ , where  $W$  is an  $f$ -invariant subspace of  $V$  and  $g, f|_W + g, f|_W - g^{-1}$  are units of  $\text{End}(W_D)$  (where  $f|_W$  is restriction of  $f$  to  $W$ ). Clearly,  $((0), 1) \in \mathcal{S}$ .

Define a partial ordering on  $\mathcal{S}$  by setting  $(W', g') \leq (W, g)$  whenever both are in  $\mathcal{S}$ ,  $W' \subseteq W$  and  $g' = g|_{W'}$ .

Suppose that  $\{(W_\alpha, g_\alpha) : \alpha \in \Lambda\}$  is a totally ordered subset of  $\mathcal{S}$ . We define  $g \in \text{End}((\cup W_\alpha)_D)$  by setting  $g(x) = g_\alpha(x)$  ( $\alpha \in \Lambda, x \in W_\alpha$ ), and it is easy to see that  $(\cup W_\alpha, g) \in \mathcal{S}$  and  $(W_\alpha, g_\alpha) \leq (\cup W_\alpha, g)$  for all  $\alpha \in \Lambda$ . It follows from Zorn's Lemma that there exists a maximal element  $(U, h)$  in  $\mathcal{S}$ ; we prove this theorem by showing that  $U = V$ . We assume that  $U \neq V$ , and show that this leads to a contradiction.

Let us fix  $x \in V \setminus U$ . Let  $V_0 := U + K$  where  $K$  is the subspace of  $V$  spanned by  $\{x, f(x), f^2(x), \dots\}$ , and write  $V_0 = U \oplus N$  where  $N$  is a nonzero subspace of  $V_0$ . Since  $U$  is  $f$ -invariant, there is a linear transformation  $\bar{f} : V_0/U \rightarrow V_0/U$  given by  $\bar{f}(\bar{v}) = \overline{f(v)}$  (for  $v \in V_0$ ). Let  $\pi : V_0 \rightarrow N$  be the projection on  $N$  along  $U$ . There is a natural isomorphism  $\varphi : V_0/U \rightarrow N$  such that  $\varphi(\bar{v}) = \pi(v)$  (for  $v \in V_0$ ). Thus  $\theta := \varphi \bar{f} \varphi^{-1} \in \text{End}(N_D)$ , and so  $\theta \varphi = \varphi \bar{f}$ . Since  $V_0/U$  is spanned by  $\{\bar{x}, \bar{f}(\bar{x}), \bar{f}^2(\bar{x}), \dots\}$ ,  $N$  is spanned by  $\{\varphi(\bar{x}), \varphi(\bar{f}(\bar{x})), \varphi(\bar{f}^2(\bar{x})), \dots\} = \{\varphi(\bar{x}), \theta \varphi(\bar{x}), \theta^2 \varphi(\bar{x}), \dots\}$ . Thus,

either  $\theta \in \text{End}(N_D)$  is a shift operator or  $N_D$  is finite dimensional. So, by Lemma 3.1.2 and Proposition 2.3.1, there exists  $\alpha \in U(\text{End}(N_D))$  such that  $\theta + \alpha$  and  $\theta - \alpha^{-1}$  are all units of  $\text{End}(N_D)$ . Let  $g : V_0 \rightarrow V_0$  be given by  $g(u + v) = h(u) + \alpha(v)$  ( $u \in U, v \in N$ ). Then  $g$  is a unit of  $\text{End}((V_0)_D)$ . We next show that  $f + g$  and  $f - g^{-1}$  are units of  $\text{End}((V_0)_D)$ .

For  $u \in U$  and  $v \in N$ , we have

$$(*) \quad (f + g)(u + v) = (f + h)(u) + [f(v) + \alpha(v)].$$

Applying  $\pi$  to both sides of  $(*)$  gives

$$\begin{aligned} \pi(f + g)(u + v) &= \pi f(v) + \alpha(v) = \varphi \overline{f(v)} + \alpha(v) = \varphi \bar{f}(\bar{v}) + \alpha(v) \\ &= \theta \varphi(\bar{v}) + \alpha(v) = \theta \pi(v) + \alpha(v) = \theta(v) + \alpha(v) \\ &= (\theta + \alpha)(v). \end{aligned}$$

If  $(f + g)(u + v) = 0$ , then  $(\theta + \alpha)(v) = 0$  and so  $v = 0$ . It follows from  $(*)$  that  $(f + h)(u) = 0$ , and hence  $u = 0$ . Thus,  $f + g : V_0 \rightarrow V_0$  is one-to-one.

Clearly,  $U \subseteq \text{Im}(f + g)$ . For any  $w \in N$ , there exists  $v \in N$  such that  $(\theta + \alpha)(v) = w$ . Thus,  $w = (\theta + \alpha)(v) = \pi(f + g)(u + v) \in \text{Im}(f + g)$  (as  $U \subseteq \text{Im}(f + g)$ ). So  $f + g : V_0 \rightarrow V_0$  is onto. Hence  $f + g$  is a unit of  $\text{End}((V_0)_D)$ .

It is similar to show that  $f - g^{-1}$  is a unit of  $\text{End}((V_0)_D)$ .

Thus,  $(V_0, g) \in \mathcal{S}$  and  $(U, h) \leq (V_0, g)$ , contradicting the maximality of  $(U, h)$ . So  $U = V$  and the proof is complete.  $\square$

There remains a question to be considered:

**Question 3.1.3** Let  $D = \mathbb{Z}_2$  or  $D = \mathbb{Z}_3$  and let  $V_D$  be a right vector space of infinite dimension. Dose  $\text{End}(V_D)$  satisfy (P)?

### 3.2 Condition (Q)

In Chapter 2, we proved that, for a semilocal ring  $R$ ,  $R$  satisfies the GM-condition if and only if no homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$  or  $M_2(\mathbb{Z}_2)$ . Also,  $R$  satisfies (P) if and only if no homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$  or  $\mathbb{Z}_3$ . In addition,  $R$  satisfies (Q) if and only if  $R$  satisfies unit 1-stable range if and only if no homomorphic image of  $R$  is isomorphic to  $\mathbb{Z}_2$ . Clearly, under the condition of a semilocal ring, the GM-condition implies Condition (P), and Condition (P) implies Condition (Q) and unit 1-stable range. It is easy to verify that the ring  $\mathbb{Z}_3$  satisfies (Q), but not (P). But it is unknown whether (P) implies (Q). We first give a sufficient condition for (P) to imply (Q). A ring  $R$  is called *right continuous* if every right ideal is essential in a direct summand of  $R_R$  and every right ideal isomorphic to a direct summand of  $R_R$  is itself a direct summand.

**Theorem 3.2.1** *Let  $R/J(R)$  be a right continuous ring. If  $R$  satisfies (P), then it satisfies (Q).*

*Proof.* Because every unit of  $R/J(R)$  can be lifted to a unit of  $R$ ,  $R$  satisfies (P) (resp. (Q)) if and only if  $R/J(R)$  satisfies (P) (resp. (Q)). Thus, we can assume that  $R$  is semiprimitive, right continuous. By Utumi [21],  $R$  is von Neumann regular; so 2 is a regular element of  $R$ . By [27, Lemma 7],  $R = S \times T$  where 2 is a unit of  $S$  and 2 is a nilpotent element of  $T$ . Thus  $2 \in J(T) \subseteq J(R)$ . Since  $J(R) = 0$ ,  $2 = 0$  in  $T$ . Since  $R$  satisfies (P),  $T$  satisfies (P). This, together with the fact that  $2 = 0$  in  $T$ , implies that  $T$  satisfies (Q). It remains to show that  $S$  satisfies (Q). Because  $R$  is right continuous,  $S$  is right continuous. So every element of  $S$  is the sum of an idempotent

and a unit by [2, Theorem 3.9], and  $2 \in U(S)$ . Thus, by [3, Theorem 11], for any  $a \in S$ ,  $a = u + v$  where  $u \in U(S)$  and  $v^2 = 1$ . This shows  $a - v = a - v^{-1} = u \in U(S)$ . So  $S$  satisfies (Q). Hence  $R = S \times T$  satisfies (Q).  $\square$

As a consequence of Theorem 3.2.1, the following theorem is an affirmative answer to Chen's question [7, p.6] whether the ring of linear transformations of a countably generated right vector space over a division ring of more than two elements satisfies (Q).

**Theorem 3.2.2** *Let  $\text{End}(V_D)$  be the ring of linear transformations of a right vector space  $V$  over a division ring  $D$ . If  $|D| > 2$ , then  $\text{End}(V_D)$  satisfies (Q).*

*Proof.* Let  $R = \text{End}(V_D)$ . It is well-known that  $R$  is a right self-injective, von Neumann regular ring. So  $R/J(R) = R$  is right continuous. If  $|D| > 3$ , then  $R$  satisfies (P) by Theorem 3.1.1, so  $R$  satisfies (Q) by Theorem 3.2.1. Thus, we can assume that  $|D| = 3$ , i.e.,  $D \cong \mathbb{Z}_3$ . Since  $R$  is right self-injective, every element of  $R$  is the sum of an idempotent and a unit by [2, Theorem 3.9]. Since  $D \cong \mathbb{Z}_3$ , 2 is a unit of  $R$ . Hence, by [3, Theorem 11], for any  $a \in R$ ,  $a = u + v$ , where  $u \in U(R)$  and  $v^2 = 1$ . This shows  $a - v = a - v^{-1} = u \in U(R)$ . Hence  $R$  satisfies (Q).  $\square$

We have been unable to answer the following

**Question 3.2.3** *Let  $D = \mathbb{Z}_2$  and let  $V_D$  be a right vector space of infinite dimension. Does  $\text{End}(V_D)$  satisfy (Q)?*

# Appendix A

Here we verify that the rings  $M_2(\mathbb{Z}_3)$ ,  $M_3(\mathbb{Z}_3)$ ,  $M_3(\mathbb{Z}_2)$ ,  $M_4(\mathbb{Z}_2)$  and  $M_5(\mathbb{Z}_2)$  all satisfy the GM-condition. The complete set of  $n \times n$  matrix units is denoted by  $\{E_{ij} : 1 \leq i, j \leq n\}$ . For any  $i, j$  with  $1 \leq i, j \leq n$  and any  $a \in R$ , we let  $P_{ij} = I - E_{ii} - E_{jj} + E_{ij} + E_{ji}$  and  $T_{ij}(a) = I + aE_{ij}$ . Note that  $P_{ij}^2 = I = T_{ij}(a)T_{ij}(-a)$  in  $M_n(R)$ . The transpose of a square matrix ring  $A$  is denoted by  $A^T$ . An  $n \times n$  companion matrix over  $R$  is a matrix in  $M_n(R)$  of the form

$$\begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ a_0 & a_1 & a_2 & \cdots & a_{n-1} \end{pmatrix}.$$

Let  $a, b \in R$ . If there exists  $u \in U(R)$  such that  $a - u, b - u^{-1} \in U(R)$ , then we write  $a \leftrightarrow b$  (or  $a \overset{u}{\leftrightarrow} b$  to emphasize the unit  $u$ ). The next lemma is obvious.

**Lemma A.1** *Let  $a, b \in R$ ,  $u, x, y \in U(R)$ , and let  $\sigma$  be an automorphism or anti-automorphism of  $R$ . Then:*

1.  $a \xleftrightarrow{u} b$  iff  $\sigma(a) \xleftrightarrow{\sigma(u)} \sigma(b)$ .
2.  $a \xleftrightarrow{u} b$  iff  $xay \xleftrightarrow{xuy} y^{-1}bx^{-1}$ .

The following well-known result is needed.

**Lemma A.2** [13, p.192] *Let  $F$  be a field and  $n \geq 2$ . Then every  $A \in M_n(F)$  is similar to its rational canonical form  $B = \begin{pmatrix} B_1 & & 0 \\ & \ddots & \\ 0 & & B_s \end{pmatrix}$ , where  $s \geq 1$ ,  $B_i$  is a companion matrix of size  $n_i$ , and, when necessary we can assume that  $1 \leq n_1 \leq n_2 \leq \dots \leq n_s$ .*

For a field  $F$ , the rank of any  $A \in M_n(F)$  is denoted by  $\text{rank}(A)$ .

**Remark A.3** *Let  $F$  be a field and let  $A \in M_n(F)$  with  $\text{rank}(A) = n$ . To show  $A \leftrightarrow B$  for all  $B \in M_n(F)$  it suffices to assume that  $A = I$  and  $B$  is an arbitrary rational canonical form.*

*Proof.* Since  $\text{rank}(A) = n$ , there exist units  $X, Y$  in  $M_n(F)$  such that  $XAY = I$ . By Lemma A.2, there exists a unit  $Z$  in  $M_n(F)$  such that  $Z(Y^{-1}BX^{-1})Z^{-1} = B'$ , where  $B'$  is the rational canonical form of  $Y^{-1}BX^{-1}$ . Then  $(ZX)A(YZ^{-1}) = ZIZ^{-1} = I$  and  $(YZ^{-1})^{-1}B(ZX)^{-1} = Z(Y^{-1}BX^{-1})Z^{-1} = B'$ . By Lemma A.1(2), we know that  $A \leftrightarrow B$  if and only if  $(ZX)A(YZ^{-1}) \leftrightarrow (YZ^{-1})^{-1}B(ZX)^{-1}$ , that is  $I \leftrightarrow B'$ . Hence,  $I \leftrightarrow C$  for every rational canonical form  $C$  in  $M_n(F)$  implies that  $A \leftrightarrow B$  for all  $B \in M_n(F)$ .  $\square$

We show that  $M_n(\mathbb{Z}_3)$  with  $n = 2, 3$  satisfies the GM-condition.

**Example A.4**  $M_2(\mathbb{Z}_3)$  satisfies the GM-condition.

*Proof.* Let  $A, B \in M_2(\mathbb{Z}_3)$ . We need to show that  $A \leftrightarrow B$ . This is certainly true if  $A = 0$ . So we assume that  $A \neq 0$ . Because  $A$  is equivalent to either  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  or  $I$ , by

Lemma A.1(1) we can assume that  $A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  or  $A = I$ .

**Case 1:**  $A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ . Write  $B = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ . If  $ad - bc + a + d + 1 \neq 0$ , let  $U = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$  and then  $A \xrightarrow{U} B$ . So we may assume that  $ad - bc + a + d + 1 = 0$ . If  $b \neq 0$ , let  $U = \begin{pmatrix} 2 & 0 \\ 1 & 2 \end{pmatrix}$  and then  $A \xrightarrow{U} B$ . So we can also assume  $b = 0$ . If  $c \neq 0$ , let  $U = \begin{pmatrix} 2 & 1 \\ 0 & 2 \end{pmatrix}$  and then  $A \xrightarrow{U} B$ . So we can also assume that  $c = 0$ . If  $a \neq 1$ , let  $U = \begin{pmatrix} 0 & 1 \\ 1 & -a \end{pmatrix}$  and then  $A \xrightarrow{U} B$ . So we can further assume that  $a = 1$ . It follows that  $d = 2$  and so  $B = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}$ . But we see that  $A \xrightarrow{U} B$  for  $U = \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}$ .

**Case 2:**  $A = I$ . By Remark A.3 we can assume that  $B$  coincides with its rational canonical form. Thus, either  $B = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$  or  $B = \begin{pmatrix} 0 & 1 \\ a & b \end{pmatrix}$ , where  $a, b \in \mathbb{Z}_3$ . For  $B = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$ , choose  $U = \begin{pmatrix} 0 & 1 \\ 1 & -a \end{pmatrix}$  when  $a \neq 0$  and choose  $U = \begin{pmatrix} 0 & 1 \\ 2 & 0 \end{pmatrix}$  when  $a = 0$ , and we see that  $A \xrightarrow{U} B$ . For  $B = \begin{pmatrix} 0 & 1 \\ a & b \end{pmatrix}$ , choose  $U = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$  when  $b - a + 1 \neq 0$  and choose  $U = \begin{pmatrix} 2 & 0 \\ 1 & 2 \end{pmatrix}$  when  $b - a + 1 = 0$ , and we see that  $A \xrightarrow{U} B$ .  $\square$

A ring  $R$  is said to satisfy the 2-sum property if every element of  $R$  is the sum of two units.

**Lemma A.5** Let  $A_1, B_1 \in M_n(R)$ , and  $A = \begin{pmatrix} A_1 & * \\ 0 & 0 \end{pmatrix}, B = \begin{pmatrix} B_1 & * \\ * & * \end{pmatrix} \in M_{n+m}(R)$ . If  $A_1 \leftrightarrow B_1$  in  $M_n(R)$  and  $M_m(R)$  satisfies the 2-sum property, then  $A \leftrightarrow B$  in



$\mathbb{M}_{n+m}(R)$ .

*Proof.* Write  $B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$ . By hypothesis, there is a unit  $U_1$  of  $\mathbb{M}_n(R)$  such that

$$X := A_1 - U_1 \text{ and } Y := B_1 - U_1^{-1}$$

are units of  $\mathbb{M}_n(R)$ . Thus  $B_{22} - B_{21}Y^{-1}B_{12} \in \mathbb{M}_m(R)$ . Since  $\mathbb{M}_m(R)$  satisfies the 2-sum property, there is a unit  $U_2$  of  $\mathbb{M}_m(R)$  such that  $Z := (B_{22} - B_{21}Y^{-1}B_{12}) - U_2^{-1}$  is a unit of  $\mathbb{M}_m(R)$ . Then  $U := \begin{pmatrix} U_1 & 0 \\ 0 & U_2 \end{pmatrix}$  is a unit of  $\mathbb{M}_{n+m}(R)$  such that

$$\begin{aligned} A - U &= \begin{pmatrix} X & * \\ 0 & -U_2 \end{pmatrix} \text{ and} \\ B - U^{-1} &= \begin{pmatrix} Y & B_{12} \\ B_{21} & B_{21}Y^{-1}B_{12} + Z \end{pmatrix} = \begin{pmatrix} I & 0 \\ B_{21}Y^{-1} & I \end{pmatrix} \begin{pmatrix} Y & B_{12} \\ 0 & Z \end{pmatrix} \end{aligned}$$

are units of  $\mathbb{M}_{n+m}(R)$ . So  $A \xleftrightarrow{U} B$ .  $\square$

**Example A.6**  $\mathbb{M}_3(\mathbb{Z}_3)$  satisfies the GM-condition.

*Proof.* Let  $A, B \in \mathbb{M}_3(\mathbb{Z}_3)$ . We need to show that  $A \leftrightarrow B$ . As done in Example A.4, we can assume that  $A = \begin{pmatrix} I_s & 0 \\ 0 & 0 \end{pmatrix}$  with  $s < 3$  or  $A = I$ . If  $A = \begin{pmatrix} I_s & 0 \\ 0 & 0 \end{pmatrix}$  where  $s < 3$ , then  $A \leftrightarrow B$  by Lemma A.5 because  $\mathbb{M}_2(\mathbb{Z}_3)$  satisfies the GM-condition (by Example A.4) and  $\mathbb{Z}_3$  satisfies the 2-sum property. Hence we can assume  $A = I$ . By Lemma A.2 and Remark A.3, we can assume that  $B$  is one of the following matrices:

$$B_1 = \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & c \end{pmatrix}, \quad B_2 = \begin{pmatrix} a & 0 & 0 \\ 0 & 0 & 1 \\ 0 & b & c \end{pmatrix}, \quad B_3 = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ a & b & c \end{pmatrix}, \text{ where } a, b, c \in \mathbb{Z}_3.$$

**Case 1:**  $B = B_1$ . If  $abc = 0$ , we can assume that  $c = 0$  by Lemma A.1(1). Then Lemma A.5 shows that  $A \leftrightarrow B$ . So we can assume that  $abc \neq 0$ . If one of  $a, b, c$  is 1, we can assume that  $c = 1$ . By Example A.4, there exists a unit  $U_1$  of  $\mathbb{M}_2(\mathbb{Z}_3)$  such

that  $I_2 \xrightarrow{U_1} \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$  in  $M_2(\mathbb{Z}_3)$ , and hence  $A \xrightarrow{U} B$  where  $U = \begin{pmatrix} u_1 & 0 \\ 0 & 2 \end{pmatrix}$ . So we can

further assume that  $a = b = c = 2$ . But we see  $A \xrightarrow{U} B$  where  $U = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}$  with

$$U^{-1} = \begin{pmatrix} 2 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}.$$

**Case 2:**  $B = B_2$ . Choose  $0 \neq x \in \mathbb{Z}_3$  such that  $x \neq (a-1)(b-c)$ , and we see

that  $A \xrightarrow{U} B$  where  $U = \begin{pmatrix} 0 & 0 & 2x \\ 0 & 2 & 0 \\ 1 & 0 & x \end{pmatrix}$  with  $U^{-1} = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 2 & 0 \\ 2x & 0 & 0 \end{pmatrix}$ .

**Case 3:**  $B = B_3$ . Choose  $x \in \mathbb{Z}_3$  such that  $x \neq 2 - a + b - c$ . Then we have

$A \xrightarrow{U} B$  where  $U = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ x & 0 & 2 \end{pmatrix}$  with  $U^{-1} = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 2x & 0 & 2 \end{pmatrix}$ . □

**Lemma A.7** Let  $A, B \in M_3(\mathbb{Z}_2)$  with  $A = \begin{pmatrix} a_{11} & a_{12} & 0 \\ a_{21} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  and  $B = \begin{pmatrix} b_{11} & b_{12} & 0 \\ b_{21} & 0 & b_{23} \\ 0 & b_{32} & b_{33} \end{pmatrix}$ .

Then  $A \xrightarrow{U} B$  for some unit  $U$  of  $M_3(\mathbb{Z}_2)$ .

*Proof.* Take  $U^{-1} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & b_{23} \\ 1 & b_{32} & b_{33} \end{pmatrix}$ . Then  $A \xrightarrow{U} B$  holds. □

**Lemma A.8** Let  $A, B \in M_3(\mathbb{Z}_2)$  with  $A = E_{11}$  and  $B = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & 0 & b_{23} \\ b_{31} & b_{32} & 0 \end{pmatrix}$ . Then

$A \xrightarrow{U} B$  for some unit  $U$  of  $M_3(\mathbb{Z}_2)$ .

*Proof.* By Lemma A.7, we can assume that either  $b_{13} = 1$  or  $b_{31} = 1$ . If  $b_{13} = b_{31} = 1$ ,

$$\text{then } T_{32}(b_{21})P_{23}BP_{23}T_{23}(b_{12}) = T_{32}(b_{21})P_{23} \begin{pmatrix} b_{11} & 1 & b_{12} \\ b_{21} & b_{23} & 0 \\ 1 & 0 & b_{32} \end{pmatrix} T_{23}(b_{12}) =$$

$$T_{32}(b_{21}) \begin{pmatrix} b_{11} & 1 & b_{12} \\ 1 & 0 & b_{32} \\ b_{21} & b_{23} & 0 \end{pmatrix} T_{23}(b_{12}) = \begin{pmatrix} b_{11} & 1 & b_{12} \\ 1 & 0 & b_{32} \\ 0 & b_{23} & * \end{pmatrix} T_{23}(b_{12}) = \begin{pmatrix} b_{11} & 1 & b_{12} \\ 1 & 0 & b_{32} \\ 0 & b_{23} & * \end{pmatrix}. \text{ So}$$

$$A \leftrightarrow T_{32}(b_{21})P_{23}BP_{23}T_{23}(b_{12}) \text{ by Lemma A.7. But since } T_{23}(b_{12})P_{23}AP_{23}T_{32}(b_{21}) =$$

$$T_{23}(b_{12}) \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} T_{32}(b_{21}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = A, \text{ we have } A \leftrightarrow B \text{ by Lemma A.1(2).}$$

Hence we can assume that one of  $b_{13}$  and  $b_{31}$  is 1 and the other is 0. Because  $A^T = A$ ,

$A \leftrightarrow B$  iff  $A \leftrightarrow B^T$  by Lemma A.1(1). Hence without loss of generality, we can assume

$$\text{that } b_{13} = 1 \text{ and } b_{31} = 0. \text{ If } b_{12} = 1, \text{ then } BT_{23}(1) = \begin{pmatrix} b_{11} & 1 & 0 \\ b_{21} & 0 & b_{23} \\ 0 & b_{32} & b_{32} \end{pmatrix}; \text{ so } A \leftrightarrow BT_{23}(1)$$

by Lemma A.7. But, since  $T_{23}(1)A = A$ , we have  $A \leftrightarrow B$  by Lemma A.1(2). Hence

we can finally assume that  $b_{13} = 1$ ,  $b_{31} = 0$ , and  $b_{12} = 0$ . Then  $T_{21}(b_{23})BP_{23} =$

$$T_{21}(b_{23}) \begin{pmatrix} b_{11} & 1 & 0 \\ b_{21} & b_{23} & 0 \\ 0 & 0 & b_{32} \end{pmatrix} = \begin{pmatrix} b_{11} & 1 & 0 \\ * & 0 & 0 \\ 0 & 0 & b_{32} \end{pmatrix}, \text{ and hence } A \leftrightarrow T_{21}(b_{23})BP_{23} \text{ by Lemma}$$

$$\text{A.7. But, since } P_{23}AT_{21}(b_{23}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} T_{21}(b_{23}) = A, \text{ we have } A \leftrightarrow B \text{ by Lemma}$$

A.1(2). The proof is complete.  $\square$

Now we prove that  $M_n(\mathbb{Z}_2)$  with  $3 \leq n \leq 5$  satisfies the GM-condition.

**Example A.9**  $M_3(\mathbb{Z}_2)$  satisfies the GM-condition.

*Proof.* Let  $A, B \in M_3(\mathbb{Z}_2)$ . We need to show that  $A \leftrightarrow B$ . This is clearly true if  $A = 0$  or  $B = 0$ . So we suppose  $A \neq 0$  and  $B \neq 0$ .

**Case 1:**  $\text{rank}(A) = 1$  or  $\text{rank}(B) = 1$ . Without loss of generality we assume  $\text{rank}(A) = 1$ . By Lemma A.1(2), we can further assume  $A = E_{11}$ . If  $B_{22}$  be the lower right  $2 \times 2$  submatrix of  $B$ , then by Lemma A.2 there is a unit  $P$  of  $M_2(\mathbb{Z}_2)$  such that  $PB_{22}P^{-1} = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$  or  $PB_{22}P^{-1} = \begin{pmatrix} 0 & 1 \\ a & b \end{pmatrix}$  where  $a, b \in \mathbb{Z}_2$ . Let  $U = \begin{pmatrix} 1 & 0 \\ 0 & P \end{pmatrix}$ .

Then  $A = UAU^{-1}$  and  $UBU^{-1} = \begin{pmatrix} * & * \\ * & PB_{22}P^{-1} \end{pmatrix}$ . Hence, by Lemma A.1(2), we can

assume that either  $B = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & a & 0 \\ b_{31} & 0 & b \end{pmatrix}$  or  $B = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & 0 & 1 \\ b_{31} & a & b \end{pmatrix}$ .

If  $B = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & a & 0 \\ b_{31} & 0 & b \end{pmatrix}$ , then  $P_{23}B = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{31} & 0 & b \\ b_{21} & a & 0 \end{pmatrix}$ , so  $A \leftrightarrow P_{23}B$  by Lemma

A.8. But since  $AP_{23} = A$ , we have  $A \leftrightarrow B$  by Lemma A.1(2).

If  $B = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & 0 & 1 \\ b_{31} & a & b \end{pmatrix}$ , then  $T_{32}(b)B = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & 0 & 1 \\ * & a & 0 \end{pmatrix}$ , so  $A \leftrightarrow T_{32}(b)B$  by

Lemma A.8. But since  $AT_{32}(b) = A$ , we have  $A \leftrightarrow B$  by Lemma A.1(2).

**Case 2:**  $\text{rank}(A) = 2$  or  $\text{rank}(B) = 2$ . Without loss of generality we assume

$\text{rank}(A) = 2$ . By Lemma A.1(2), we can further assume  $A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ . If  $B_{11}$

is the upper left  $2 \times 2$  submatrix of  $B$ , then by Lemma A.2 there is a unit  $P$  of  $M_2(\mathbb{Z}_2)$  such that  $PB_{11}P^{-1} = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$  or  $PB_{11}P^{-1} = \begin{pmatrix} 0 & 1 \\ a & b \end{pmatrix}$  where  $a, b \in \mathbb{Z}_2$ . Let

$U = \begin{pmatrix} P & 0 \\ 0 & 1 \end{pmatrix}$ . Then  $A = UAU^{-1}$  and  $UBU^{-1} = \begin{pmatrix} PB_{11}P^{-1} & * \\ * & * \end{pmatrix}$ . Hence, by Lemma

A.1(2), we can assume that either  $B = \begin{pmatrix} a & 0 & b_{13} \\ 0 & b & b_{23} \\ b_{31} & b_{32} & b_{33} \end{pmatrix}$  or  $B = \begin{pmatrix} 0 & 1 & b_{13} \\ a & b & b_{23} \\ b_{31} & b_{32} & b_{33} \end{pmatrix}$ .

**Subcase 1:**  $B = \begin{pmatrix} 0 & 1 & b_{13} \\ a & b & b_{23} \\ b_{31} & b_{32} & b_{33} \end{pmatrix}$ . We have

$$A' := T_{13}(b_{13})P_{12}AT_{21}(b)T_{31}(b_{32}) = T_{13}(b_{13})P_{12} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} T_{21}(b)T_{31}(b_{32}) = T_{13}(b_{13})$$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} T_{21}(b)T_{31}(b_{32}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} T_{21}(b)T_{31}(b_{32}) = \begin{pmatrix} * & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} T_{31}(b_{32}) = \begin{pmatrix} * & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

and  $B' := T_{31}(b_{32})T_{21}(b)BP_{12}T_{13}(b_{13}) = T_{31}(b_{32})T_{21}(b) \begin{pmatrix} 1 & 0 & b_{13} \\ b & a & b_{23} \\ b_{32} & b_{31} & b_{33} \end{pmatrix} T_{13}(b_{13}) =$

$T_{31}(b_{32})T_{21}(b) \begin{pmatrix} 1 & 0 & 0 \\ b & a & * \\ b_{32} & b_{31} & * \end{pmatrix} = T_{31}(b_{32}) \begin{pmatrix} 1 & 0 & 0 \\ 0 & a & * \\ b_{32} & b_{31} & * \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & a & * \\ 0 & b_{31} & * \end{pmatrix}$ . If  $a = 0$ , then  $A' \leftrightarrow B'$  by Lemma A.7; so  $A \leftrightarrow B$  by Lemma A.1(2). If  $a = 1$ , then  $A'T_{32}(b_{31}) = A'$  and  $T_{32}(b_{31})B' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & b'_{23} \\ 0 & 0 & b'_{33} \end{pmatrix}$ . Let  $U^{-1} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & b'_{23} + 1 \\ 1 & 1 & b'_{33} \end{pmatrix}$ . Then  $U = \begin{pmatrix} * & * & 1 \\ * & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$ , and  $A'T_{32}(b_{31}) - U$  and  $T_{32}(b_{31})B' - U^{-1}$  are units of  $M_3(\mathbb{Z}_2)$ . That is,  $A'T_{32}(b_{31}) \xrightarrow{U} T_{32}(b_{31})B'$ . This implies  $A' \leftrightarrow B'$ , which in turn implies  $A \leftrightarrow B$  by Lemma A.1(2).

**Subcase 2:**  $B = \begin{pmatrix} a & 0 & b_{13} \\ 0 & b & b_{23} \\ b_{31} & b_{32} & b_{33} \end{pmatrix}$ . We have  $A_1 := AP_{12} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  and  $B_1 := P_{12}B = \begin{pmatrix} 0 & b & b_{23} \\ a & 0 & b_{13} \\ b_{31} & b_{32} & b_{33} \end{pmatrix}$ . By Lemma A.1(2), to show  $A \leftrightarrow B$  it suffices to show  $A_1 \leftrightarrow B_1$ .

(1) If  $b_{23} = b_{31} = 0$ , then  $A_1 \leftrightarrow B_1$  by Lemma A.7.

(2) Suppose  $b_{23} = 0$  and  $b_{31} = 1$ . Then  $B_1 = \begin{pmatrix} 0 & b & 0 \\ a & 0 & b_{13} \\ 1 & b_{32} & b_{33} \end{pmatrix}$ . Let  $U^{-1} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & b_{13} \\ 1 & b_{32} + 1 & b_{33} \end{pmatrix}$  and  $V^{-1} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & b_{13} \\ 0 & 0 & 1 \end{pmatrix}$ . Then  $U = \begin{pmatrix} * & * & 1 \\ * & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$  and  $V = \begin{pmatrix} 1 & 1 & b_{13} \\ 0 & 1 & b_{13} \\ 0 & 0 & 1 \end{pmatrix}$ . It can be checked that  $A_1 \xrightarrow{U} B_1$  if  $a = 1$  and that  $T_{13}(b_{33})A_1 \xrightarrow{V} B_1T_{13}(b_{33})$  if  $a = 0$ .

Hence  $A_1 \leftrightarrow B_1$  by Lemma A.1(2).

(3) Suppose  $b_{23} = 1$  and  $b_{31} = 0$ . As seen in (2),  $A_1^T \leftrightarrow B_1^T$ , so  $A_1 \leftrightarrow B_1$  by Lemma A.1(1).

(4) Suppose  $b_{23} = 1$  and  $b_{31} = 1$ . Then  $B_1 = \begin{pmatrix} 0 & b & 1 \\ a & 0 & b_{13} \\ 1 & b_{32} & b_{33} \end{pmatrix}$ . If  $a = 1$ , then

$$T_{32}(1)B_1 = \begin{pmatrix} 0 & b & 1 \\ 1 & 0 & b_{13} \\ 0 & b_{32} & * \end{pmatrix} \text{ and } A_1T_{32}(1) = A_1; \text{ so as done in (3), } A_1T_{32}(1) \leftrightarrow$$

$$T_{23}(1)B_1, \text{ showing } A_1 \leftrightarrow B_1. \text{ If } b = 1, \text{ then } B_1T_{23}(1) = \begin{pmatrix} 0 & 1 & 0 \\ a & 0 & b_{13} \\ 1 & b_{32} & * \end{pmatrix} \text{ and } T_{23}(1)A_1 =$$

$A_1$ ; so as done in (2),  $T_{23}(1)A_1 \leftrightarrow B_1T_{23}(1)$ , showing  $A_1 \leftrightarrow B_1$ . If  $a = b = 0$ , then

$$B_1 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & b_{13} \\ 1 & b_{32} & b_{33} \end{pmatrix}. \text{ Let } x = b_{13} + b_{33} + b_{13}b_{32} + 1. \text{ Then } U := \begin{pmatrix} 1 & 1 & x \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = U^{-1}$$

and, moreover,  $A_1 \xrightarrow{U} B_1$ . Hence in subcase 2, we have proved  $A_1 \leftrightarrow B_1$ .

**Case 3:**  $\text{rank}(A) = \text{rank}(B) = 3$ . In view of Remark A.3, we can assume

that  $A = I_3$  and  $B$  is one of the following matrices:  $B_1 = I_3$ ,  $B_2 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & c \end{pmatrix}$ ,

$$B_3 = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & b & c \end{pmatrix}, \text{ where } b, c \in \mathbb{Z}_2. \text{ Let } U = \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix} \text{ with } U^{-1} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}.$$

Then  $A \leftrightarrow B$  follows from the facts that  $I_3T_{31}(1) \xrightarrow{U} T_{31}(1)B_1$ ,  $T_{13}(1)I_3T_{31}(1) \xrightarrow{U} T_{31}(1)B_2T_{13}(1)$ , and  $I_3T_{32}(c)T_{31}(1) \xrightarrow{U} T_{31}(1)T_{32}(c)B_3$ .  $\square$

**Lemma A.10** *Let  $A, B \in \mathbb{M}_{n+1}(\mathbb{Z}_2)$  and suppose  $\mathbb{M}_n(\mathbb{Z}_2)$  satisfies the GM-condition.*

*If  $\text{rank}(A) \leq n$  and  $\text{rank}(B) \leq n$ , then  $A \leftrightarrow B$  in  $\mathbb{M}_{n+1}(\mathbb{Z}_2)$ .*

*Proof.* By Lemma A.1(2), we can assume that  $A = \begin{pmatrix} I_s & 0 \\ 0 & 0 \end{pmatrix}$  with  $s \leq n$  and

$\text{rank}(B) \leq n$ . There exist units  $P$  and  $Q$  of  $\mathbb{M}_{n+1}(\mathbb{Z}_2)$  such that  $PBQ = \begin{pmatrix} I_k & 0 \\ 0 & 0 \end{pmatrix}$

where  $k \leq n$ . Hence  $PB = \begin{pmatrix} I_k & 0 \\ 0 & 0 \end{pmatrix}Q^{-1} = \begin{pmatrix} B_1 & * \\ 0 & 0 \end{pmatrix}$  and  $AP^{-1} = \begin{pmatrix} A_1 & * \\ 0 & 0 \end{pmatrix}$ , where

$A_1, B_1 \in \mathbb{M}_n(\mathbb{Z}_2)$ . Since  $\mathbb{M}_n(\mathbb{Z}_2)$  satisfies the GM-condition, there is a unit  $U_1$  of

$M_n(\mathbb{Z}_2)$  such that  $A_1 \xrightarrow{U_1} B_1$ . Hence  $A \xrightarrow{U} B$  where  $U = \begin{pmatrix} v_1 & 0 \\ 0 & 1 \end{pmatrix}$ .  $\square$

**Lemma A.11** For  $a, b \in \mathbb{Z}_2$ ,  $I_2 \leftrightarrow \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$  and  $I_2 \leftrightarrow \begin{pmatrix} 0 & 1 \\ a & 1 \end{pmatrix}$  in  $M_2(\mathbb{Z}_2)$ .

*Proof.* Let  $B = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$ . To show  $I_2 \leftrightarrow B$  we can assume that  $B = \begin{pmatrix} I_s & 0 \\ 0 & 0 \end{pmatrix}$  where  $s \leq 2$  by Lemma A.1(2), and so  $I_2 \xrightarrow{U} B$  where  $U = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$ . Moreover,  $I_2 \xrightarrow{U} \begin{pmatrix} 0 & 1 \\ a & 1 \end{pmatrix}$  where  $U = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$ .  $\square$

**Example A.12**  $M_4(\mathbb{Z}_2)$  satisfies the GM-condition.

*Proof.* Let  $A, B \in M_4(\mathbb{Z}_2)$ . We need to show that  $A \leftrightarrow B$ . In view of Example A.9 and Lemma A.10, we can assume that either  $\text{rank}(A) = 4$  or  $\text{rank}(B) = 4$ . Without loss of generality we assume that  $\text{rank}(A) = 4$ . By Remark A.3, we may further assume  $A = I_4$  and  $B$  is one of the following matrices:

$$B_1 = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & 0 & 0 \\ 0 & 0 & c & 0 \\ 0 & 0 & 0 & d \end{pmatrix}, B_2 = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & c & d \end{pmatrix}, B_3 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ a & b & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & c & d \end{pmatrix},$$

$$B_4 = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & b & c & d \end{pmatrix}, B_5 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ a & b & c & d \end{pmatrix},$$

where  $a, b, c, d \in \mathbb{Z}_2$ . By Lemma A.11, there exist units  $P_1, P_2$  of  $M_2(\mathbb{Z}_2)$  such that  $I_2 \xrightarrow{P_1} \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$  and  $I_2 \xrightarrow{P_2} \begin{pmatrix} c & 0 \\ 0 & d \end{pmatrix}$  in  $M_2(\mathbb{Z}_2)$ . It follows that  $I_4 \xrightarrow{P} B_1$  where  $P =$

$$\begin{pmatrix} P_1 & 0 \\ 0 & P_2 \end{pmatrix}. \text{ It is similar to see that } A \leftrightarrow B_2 \text{ when } d = 1. \text{ Let } U_2 = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, U_2 =$$

$$\begin{pmatrix} 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, U_3 = U_4 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, U_5 = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

To verify  $A \leftrightarrow B_2$ , we can assume  $d = 0$ . We see that

$$\begin{aligned} T_{21}(1)I_4P_{12}T_{21}(1) &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} P_{12}T_{21}(1) = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} T_{21}(1) = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \\ \xrightarrow{U_3} \begin{pmatrix} b & b & 0 & 0 \\ a-b & b & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & c & d \end{pmatrix} &= \begin{pmatrix} 0 & b & 0 & 0 \\ a & b & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & c & d \end{pmatrix} T_{21}(1) = T_{21}(1) \begin{pmatrix} 0 & b & 0 & 0 \\ a & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & c & d \end{pmatrix} T_{21}(1) = \end{aligned}$$

$T_{21}(1)P_{12}B_2T_{21}(1)$ , if  $c = 0$  and that  $T_{21}(1)I_4P_{12} \xrightarrow{V_2} P_{12}B_2T_{21}(1)$  if  $c = 1$ . So  $A \leftrightarrow B_2$

follows.

$$\begin{aligned} \text{Because } I_4T_{21}(b)T_{12}(1) &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix} T_{12}(1) = \begin{pmatrix} 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix} \xrightarrow{U_3} \begin{pmatrix} a & 1 & 0 & 0 \\ a & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & c & d \end{pmatrix} \\ = T_{12}(1) \begin{pmatrix} 0 & 1 & 0 & 0 \\ a & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & c & d \end{pmatrix} &= T_{12}(1)T_{21}(b)B_3, \text{ we see } I_4 \leftrightarrow B_3. \end{aligned}$$

$$\begin{aligned} \text{Because } I_4T_{43}(d)T_{42}(1) &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & d & 1 \end{pmatrix} T_{42}(1) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & d & 1 \end{pmatrix} \xrightarrow{U_4} \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & b & c-1 & 0 \end{pmatrix} \\ = T_{42}(1) \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & b & c & 0 \end{pmatrix} &= T_{42}(1)T_{43}(d)B_4, \text{ we have } I_4 \leftrightarrow B_4. \end{aligned}$$



$$\begin{aligned} \text{Finally, } I_4 \leftrightarrow B_5, \text{ since } I_4 T_{41}(1) T_{43}(d) &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix} T_{43}(d) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & d & 1 \end{pmatrix} \\ \xrightarrow{U_3} \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ a & b-1 & c & 0 \end{pmatrix} &= T_{43}(d) \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ a & b-1 & c & d \end{pmatrix} = T_{43}(d) T_{41}(1) B_5. \quad \square \end{aligned}$$

**Example A.13**  $M_5(\mathbb{Z}_2)$  satisfies the GM-condition.

*Proof.* Let  $A, B \in M_5(\mathbb{Z}_2)$ . We need to show that  $A \leftrightarrow B$ . In view of Example A.12 and Lemma A.10, we can assume that either  $\text{rank}(A) = 5$  or  $\text{rank}(B) = 5$ . Without loss of generality we assume that  $\text{rank}(A) = 5$ . By Remark A.3, we may further assume  $A = I$  and  $B$  is one of the following matrices:

$$\begin{aligned} B_1 &= \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & b & 0 & 0 & 0 \\ 0 & 0 & c & 0 & 0 \\ 0 & 0 & 0 & d & 0 \\ 0 & 0 & 0 & 0 & e \end{pmatrix}, B_2 = \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & b & 0 & 0 & 0 \\ 0 & 0 & c & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & d & e \end{pmatrix}, B_3 = \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & b & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & c & d & e \end{pmatrix}, \\ B_4 &= \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & b & c & d & e \end{pmatrix}, B_5 = \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & b & c & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & d & e \end{pmatrix}, B_6 = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ a & b & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & c & d & e \end{pmatrix}, \end{aligned}$$

where  $a, b, c, d, e \in \mathbb{Z}_2$ . By Lemma A.11 and Example A.9, there exists a unit  $U_1$  of  $M_2(\mathbb{Z}_2)$  and a unit  $U_2$  of  $M_3(\mathbb{Z}_2)$  such that  $I_2 \xrightarrow{U_1} \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$  and  $I_3 \xrightarrow{U_2} \begin{pmatrix} c & 0 & 0 \\ 0 & d & 0 \\ 0 & 0 & e \end{pmatrix}$ . It follows that  $I_5 \xrightarrow{U} B_1$  where  $U = \begin{pmatrix} U_1 & 0 \\ 0 & U_2 \end{pmatrix}$ . It is similar to see that  $I_5 \leftrightarrow B_i$  for  $i = 2, 3$ ,

$$\text{and } I_5 \leftrightarrow B_6 \text{ when } b = 1. \text{ Let } U_4 = U_5 = \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{pmatrix} \text{ and } U_6 = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}.$$

$$\text{Because } I_5 T_{54}(e) T_{52}(1) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & e & 1 \end{pmatrix} T_{52}(1) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & e & 1 \end{pmatrix} \xleftrightarrow{U_4} \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & b & c-1 & d & 0 \end{pmatrix} =$$

$$T_{52}(1) \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & b & c & d & 0 \end{pmatrix} = T_{52}(1) T_{54}(e) B_4, \text{ we have } I_5 \leftrightarrow B_4.$$

To verify  $I_5 \leftrightarrow B_5$ , we first assume  $c = 1$ . Then there is a unit  $P$  of  $M_5(\mathbb{Z}_2)$  such that  $PB_5P^{-1} = \begin{pmatrix} X & 0 \\ 0 & Y \end{pmatrix}$  where  $X = \begin{pmatrix} 0 & 1 \\ b & 1 \end{pmatrix}$  and  $Y = \begin{pmatrix} a & 0 & 0 \\ 0 & 0 & 1 \\ 0 & d & e \end{pmatrix}$ , and we see that  $I_5 \leftrightarrow PB_5P^{-1}$  by arguing as in proving  $I_5 \leftrightarrow B_1$ . So by Lemma A.1(2),  $I_5 = P^{-1}I_5P \leftrightarrow P^{-1}(PB_5P^{-1})P = B_5$ . Hence, we can assume that  $c = 0$ . Then we have

$$I_5 T_{52}(1) T_{54}(e) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \end{pmatrix} T_{54}(e) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & e & 1 \end{pmatrix} \xleftrightarrow{U_5} \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & b & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & d & 0 \end{pmatrix} =$$

$$T_{54}(e) \begin{pmatrix} a & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & b & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & d & 0 \end{pmatrix} = T_{54}(e) T_{52}(1) B_5, \text{ so } I_5 \leftrightarrow B_5 \text{ follows. To verify } I_5 \leftrightarrow B_6,$$

we can assume  $b = 0$ . Then  $I_5 \leftrightarrow B_6$  follows from the fact that  $I_5 T_{51}(1) T_{54}(e) =$

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 \end{pmatrix} T_{54}(e) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & e & 1 \end{pmatrix} \xleftarrow{U_6} \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ a & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & e & d & 0 \end{pmatrix} = T_{54}(e) \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ a & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & e & d & e \end{pmatrix} =$$

$T_{54}(e)T_{51}(1)B_6$ . The proof is completed.  $\square$

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